

ASYNCHRONOUS PROBABILISTIC COUPLINGS

in Higher-Order Separation Logic

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Motivating example

```
let b = flip in  
λ_. b
```

```
let r = ref(None) in  
λ_. match !r with  
  Some(b) ⇒ b  
  | None   ⇒ let b = flip in  
              r ← Some(b);  
              b  
end
```

pRHL approach

The usual coupling rules known from pRHL, e.g.,

pRHL-couple

$$\frac{}{\{P[v/x_1, v/x_2]\} x_1 \stackrel{\$}{\leftarrow} d \sim x_2 \stackrel{\$}{\leftarrow} d \{P\}}$$

require “synchronization” and thus do not suffice.

This work

Proving **contextual equivalence** of

- ... probabilistic programs written in an expressive programming language
- ... using a higher-order separation logic, called Clutch,
- ... and asynchronous probabilistic couplings

while mechanizing everything in the Coq proof assistant.

The $F_{\mu, \text{ref}}^{\text{rand}}$ language

An ML-like language with higher-order (recursive) functions, higher-order state, impredicative polymorphism, ..., and probabilistic uniform sampling.

$$e \in \text{Expr} ::= \dots \mid \text{rand}(e)$$
$$K \in \text{Ectx} ::= \dots \mid \mid \text{rand}(K)$$
$$\tau \in \text{Type} ::= \alpha \mid \text{unit} \mid \text{bool} \mid \text{int} \mid \tau \times \tau \mid \tau + \tau \mid \tau \rightarrow \tau \mid$$
$$\forall \alpha. \tau \mid \exists \alpha. \tau \mid \mu \alpha. \tau \mid \text{ref } \tau$$

and a standard typing judgment $\Gamma \vdash e : \tau$.

Operational semantics

$$\begin{array}{l} \text{rand}(N), \sigma \rightarrow^{1/(N+1)} n, \sigma \\ (\lambda x. e_1)e_2, \sigma \rightarrow^1 e_1[e_2/x], \sigma \\ \vdots \end{array} \quad n \in \{0, 1, \dots, N\}$$

For this presentation we will just consider $\text{flip} \triangleq \text{rand}(1)$.

Let $\text{step}(\rho) \in \mathcal{D}(\text{Cfg})$ be the distribution of single step reduction of $\rho \in \text{Cfg}$.

$$\text{exec}_n(e, \sigma) \triangleq \begin{cases} \mathbf{0} & \text{if } e \notin \text{Val and } n = 0 \\ \text{ret}(e) & \text{if } e \in \text{Val} \\ \text{step}(e, \sigma) \gg \text{exec}_{(n-1)} & \text{otherwise} \end{cases}$$

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$$\text{exec}(\rho)(v) \triangleq \lim_{n \rightarrow \infty} \text{exec}_n(\rho)(v)$$

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$$\text{exec}(\rho)(v) \triangleq \lim_{n \rightarrow \infty} \text{exec}_n(\rho)(v)$$

$$\text{term}(\rho) \triangleq \sum_{v \in \text{Val}} \text{exec}(\rho)(v)$$

Contextual refinement

The property of interest is **contextual refinement**.

$$\Gamma \vdash e_1 \lesssim_{\text{ctx}} e_2 : \tau \quad \triangleq \quad \forall \tau', (\mathcal{C} : (\Gamma \vdash \tau) \Rightarrow (\emptyset \vdash \tau')), \sigma. \\ \text{term}(\mathcal{C}[e_1], \sigma) \leq \text{term}(\mathcal{C}[e_2], \sigma)$$

and $\Gamma \vdash e_1 \simeq_{\text{ctx}} e_2 : \tau$ follows as refinement in both directions.

Proving contextual refinement

1. A probabilistic relational separation logic on top of Iris
2. A logical refinement judgment (a “logical” logical relation)

$$\Gamma \vDash e_1 \lesssim e_2 : \tau$$

that implies contextual refinement.

Refinement judgment

The judgment

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should be read as “in env. Γ , expression e_1 refines expression e_2 at type τ ”.

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Theorem (Fundamental theorem)

If $\Gamma \vdash e : \tau$ then $\Gamma \vDash e \lesssim e : \tau$.

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Theorem (Fundamental theorem)

If $\Gamma \vdash e : \tau$ then $\Gamma \vDash e \lesssim e : \tau$.

Theorem (Soundness)

If $\Gamma \vDash e_1 \lesssim e_2 : \tau$ then $\Gamma \vdash e_1 \lesssim_{\text{ctx}} e_2 : \tau$.

Reading

$$\frac{A_1 \quad \dots \quad A_n}{B}$$

as $A_1 * \dots * A_n \vdash B$, the judgment satisfies, e.g.,

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$$\frac{\forall b. \Gamma \vDash K[b] \lesssim e_2 : \tau}{\Gamma \vDash K[\text{flip}] \lesssim e_2 : \tau}$$

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$$\frac{\forall b. \Gamma \vDash K[b] \lesssim e_2 : \tau}{\Gamma \vDash K[\text{flip}] \lesssim e_2 : \tau} \quad \frac{f \text{ bijection} \quad \forall b. \Gamma \vDash K[b] \lesssim K'[f(b)] : \tau}{\Gamma \vDash K[\text{flip}] \lesssim K'[\text{flip}] : \tau}$$

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... but **operationally**, it is not possible to (pre-)sample to the tapes!

As a consequence, labels and tapes can be **erased!**

$$\iota : \text{tape} \vdash \text{flip}() \simeq_{\text{ctx}} \text{flip}(\iota) : \text{bool}$$

Logically, we introduce a separation logic resource

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$$\frac{\iota \hookrightarrow b \cdot \vec{b} \quad \iota \hookrightarrow \vec{b} \multimap \Gamma \vDash K[b] \lesssim e_2 : \tau}{\Gamma \vDash K[\text{flip}(\iota)] \lesssim e_2 : \tau}$$

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$$\frac{f \text{ bijection} \quad \iota \hookrightarrow \vec{b} \quad \forall b. \iota \hookrightarrow \vec{b} \cdot b \multimap \Gamma \vDash e \lesssim K'[f(b)] : \tau}{\Gamma \vDash e \lesssim K'[\text{flip}()] : \tau}$$

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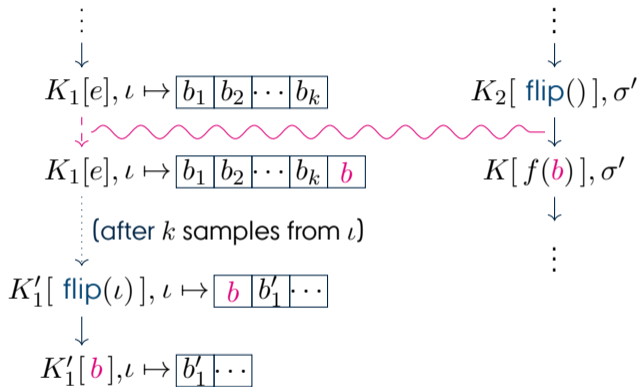
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Effectively, we turn reasoning about prob. choice into reasoning about state!




```
let b = flip in  
λ_. b
```

\approx_{ctx}

```
let r = ref(None) in  
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  Some(b) ⇒ b  
| None    ⇒ let b = flip in  
              r ← Some(b);  
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```

```
let b = flip in  
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```

\approx_{ctx}

```
let  $\iota$  = tape(1) in  
let r = ref(None) in  
λ_. match !r with  
  Some(b)  $\Rightarrow$  b  
| None     $\Rightarrow$  let b = flip( $\iota$ ) in  
               r  $\leftarrow$  Some(b);  
               b  
  
end
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let r = ref(None) in  
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ElGamal public key encryption

$keygen \triangleq \lambda _ . \text{let } sk = \text{rand}(n) \text{ in}$

$\text{let } pk = g^{sk} \text{ in}$

(sk, pk)

$dec \triangleq \lambda sk (B, X) . X \cdot B^{-sk}$

$enc \triangleq \lambda pk msg . \text{let } b = \text{rand}(n) \text{ in}$

$\text{let } B = g^b \text{ in}$

$\text{let } X = msg \cdot pk^b \text{ in}$

(B, X)

where $G = (1, \cdot, -^{-1})$ is a finite cyclic group generated by g , and $n = |G| - 1$.

$PK_{real} \triangleq$
 let $(sk, pk) = keygen()$ in
 let $count = ref\ 0$ in
 let $query = \lambda\ msg.$
 if ! $count \neq 0$ then
 None
 else
 $count \leftarrow 1;$
 let $(B, X) = enc\ pk\ msg$ in
 Some (B, X)
 in $(pk, query)$

$PK_{rand} \triangleq$
 let $(sk, pk) = keygen()$ in
 let $count = ref\ 0$ in
 let $query = \lambda\ msg.$
 if ! $count \neq 0$ then
 None
 else
 $count \leftarrow 1;$
 let $b = rand(n)$ in
 let $x = rand(n)$ in
 let $(B, X) = (g^b, g^x)$ in
 Some (B, X)
 in $(pk, query)$

Security reduction

The security of ElGamal encryption can be reduced to the DDH assumption.

$$DH_{real} \triangleq \begin{array}{l} \text{let } a = \text{rand}(n) \text{ in} \\ \text{let } b = \text{rand}(n) \text{ in} \\ (g^a, g^b, g^{ab}) \end{array}$$

$$DH_{rand} \triangleq \begin{array}{l} \text{let } a = \text{rand}(n) \text{ in} \\ \text{let } b = \text{rand}(n) \text{ in} \\ \text{let } c = \text{rand}(n) \text{ in} \\ (g^a, g^b, g^c) \end{array}$$

are “indistinguishable” for certain groups and adversaries.

By exhibiting a PPT context \mathcal{C} such that

$$\vdash PK_{real} \simeq_{\text{ctx}} \mathcal{C}[DH_{real}] : \tau_{PK}$$

$$\vdash PK_{rand} \simeq_{\text{ctx}} \mathcal{C}[DH_{rand}] : \tau_{PK}$$

we can complete the reduction outside of Clutch.

$C[-] \triangleq$ **let** $(pk, B, C) = -$ **in**
 let $count = \text{ref } 0$ **in**
 let $query = \lambda msg.$
 if $!count \neq 0$ **then**
 None
 else
 $count \leftarrow 1;$
 let $X = msg \cdot C$ **in**
 Some (B, X)
 in $(pk, query)$

$PK_{real} \quad \simeq_{\text{ctx}}$
 let $sk = \text{rand}(n)$ in
 let $pk = g^{sk}$ in

 let $count = \text{ref } 0$ in
 let $query = \lambda msg.$
 if ! $count \neq 0$ then
 None
 else
 $count \leftarrow 1;$
 let $b = \text{rand}(n)$ in
 let $B = g^b$ in

 let $X = msg \cdot pk^b$ in
 Some (B, X)
 in ($pk, query$)

$PK_{real}^{tape} \quad \simeq_{\text{ctx}}$
 let $\beta = \text{tape}(n)$ in
 let $sk = \text{rand}(n)$ in
 let $pk = g^{sk}$ in

 let $count = \text{ref } 0$ in
 let $query = \lambda msg.$
 if ! $count \neq 0$ then
 None
 else
 $count \leftarrow 1;$
 let $b = \text{rand}(n, \beta)$ in
 let $B = g^b$ in
 let $C = pk^b$ in

 let $X = msg \cdot C$ in
 Some (B, X)
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$C[DH_{real}]$
 let $(pk, B, C) =$
 let $a = \text{rand}(n)$ in
 let $b = \text{rand}(n)$ in
 (g^a, g^b, g^{ab}) in
 let $count = \text{ref } 0$ in
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Clutch

Clutch is built on top of the (Boolean-valued!) Iris separation logic

$$\begin{aligned} P, Q \in \text{iProp} ::= & \text{True} \mid \text{False} \mid P \wedge Q \mid P \vee Q \mid P \Rightarrow Q \mid && \text{(propositional)} \\ & \forall x. P \mid \exists x. P \mid && \text{(higher-order)} \\ & P * Q \mid P \multimap Q \mid \ell \mapsto v \mid && \text{(separation)} \\ & \Box P \mid \triangleright P \mid \boxed{a} \mid \boxed{P} \mid \dots \mid && \text{(Iris)} \\ & \text{wp } e \{ \Phi \} \mid \text{spec}(e) \mid \iota \hookrightarrow \vec{b} \mid \dots && \text{(Clutch)} \end{aligned}$$

from which we derive $\Gamma \vDash e_1 \lesssim e_2 : \tau$.

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 $\forall x. P \mid \exists x. P \mid$ (higher-order)
 $P * Q \mid P \multimap Q \mid \ell \mapsto v \mid$ (separation)
 $\Box P \mid \triangleright P \mid \boxed{a} \mid \boxed{P} \mid \dots \mid$ (Iris)
 $\text{wp } e \{ \Phi \} \mid \text{spec}(e) \mid \iota \hookrightarrow \vec{b} \mid \dots$ (Clutch)

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A pRHL-style Hoare quadruple $\{P\} e_1 \sim e_2 \{Q\}$ can be encoded as

$$P \text{ -* spec}(e_2) \text{ -* wp } e_1 \{v_1. \text{spec}(v_2) * Q(v_1, v_2)\}$$

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The **soundness theorem** of the program logic will allow us to conclude that there exists **probabilistic coupling** of the execution of e_1 and e_2 .

Couplings

Goal

A relational program logic that proves the existence of a **probabilistic coupling** between the two programs.

Couplings can be constructed compositionally and will allow us to prove equality between distributions.

Definition (Coupling)

Let $\mu_1 \in \mathcal{D}(A)$, $\mu_2 \in \mathcal{D}(B)$. A sub-distribution $\mu \in \mathcal{D}(A \times B)$ is a coupling of μ_1 and μ_2 if

1. $\forall a. \sum_{b \in B} \mu(a, b) = \mu_1(a)$
2. $\forall b. \sum_{a \in A} \mu(a, b) = \mu_2(b)$

Given relation $R : A \times B$ we say μ is an R -coupling if furthermore $\text{supp}(\mu) \subseteq R$. We write $\mu_1 \sim \mu_2 : R$ if there exists an R -coupling of μ_1 and μ_2 .

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For example, the distribution $\mu_{\text{coins}} \in \mathcal{D}(\mathbb{B} \times \mathbb{B})$ where

$$\mu_{\text{coins}}(b_1, b_2) \triangleq \begin{cases} \frac{1}{2} & \text{if } b_1 = b_2 \\ 0 & \text{otherwise} \end{cases}$$

is a witness of a coupling $\mu_{\text{coin}} \sim \mu_{\text{coin}} : (=)$ as can be easily verified.

Lemma (Composition of couplings)

Let $R : A \times B, S : A' \times B', \mu_1 \in \mathcal{D}(A), \mu_2 \in \mathcal{D}(B), f_1 : A \rightarrow \mathcal{D}(A'),$ and $f_2 : B \rightarrow \mathcal{D}(B').$

1. If $(a, b) \in R$ then $\text{ret}(a) \sim \text{ret}(b) : R.$
2. If $\mu_1 \sim \mu_2 : R$ and $\forall (a, b) \in R. f_1(a) \sim f_2(b) : S$ then $\mu_1 \gg f_1 \sim \mu_2 \gg f_2 : S$

Lemma (Equality coupling)

If $\mu_1 \sim \mu_2 : (=)$ then $\mu_1 = \mu_2.$

Logical refinement

We define the **logical refinement** judgment for closed terms

$$\vDash e_1 \lesssim e_2 : \tau$$

which we extend to open terms by closing substitutions as usual

$$\Gamma \vDash e_1 \lesssim e_2 : \tau \triangleq \forall \vec{v}, \vec{w}. \llbracket \Gamma \rrbracket (\vec{v}, \vec{w}) \text{-} * \vDash e_1[\vec{v}/\Gamma] \lesssim e_2[\vec{w}/\Gamma] : \tau$$

Peeling the onion (layer 1)

The structure of the refinement judgment is “the usual” one:

$$\models e_1 \lesssim e_2 : \tau \quad \triangleq \quad \forall K. \text{specCtx } \rightarrow * \text{spec}(K[e_2]) \rightarrow * \\ \text{wp } e_1 \{v_1. \exists v_2. \text{spec}(K[v_2]) * \llbracket \tau \rrbracket(v_1, v_2)\}$$

All the magic happens in the **weakest precondition** predicate.

Peeling the onion (layer 2)

The intuitive reading of the weakest precondition is that the execution of e_1 can be coupled with the execution of **some** other program.

$$\begin{aligned} \text{wp } e_1 \{ \Phi \} \triangleq & (e_1 \in \text{Val} \wedge \Phi(e_1)) \vee \\ & (e_1 \notin \text{Val} \wedge \forall \sigma_1, \rho_1. S(\sigma_1) * G(\rho_1) \multimap \\ & \text{execCoupl}(e_1, \sigma_1, \rho_1)(\lambda e_2, \sigma_2, \rho_2. \\ & \triangleright S(\sigma_2) * G(\rho_2) * \text{wp } e_2 \{ \Phi \})) \end{aligned}$$

Peeling the onion (layer 3)

$$\frac{\text{red}(\rho_1) \quad \text{prim_step}(\rho_1) \sim \text{ret}(\rho'_1) : R \quad \forall \rho_2. R(\rho_2, \rho'_1) \multimap Z(\rho_2, \rho'_1)(Z)}{\text{execCoupl}(\rho_1, \rho'_1)(Z)}$$

$$\frac{\text{ret}(\rho_1) \sim \text{prim_step}(\rho'_1) : R \quad \forall \rho'_2. R(\rho_1, \rho'_2) \multimap \text{execCoupl}(\rho_1, \rho'_2)(Z)}{\text{execCoupl}(\rho_1, \rho'_1)(Z)}$$

$$\frac{\text{prim_step}(\rho_1) \sim \text{prim_step}(\rho'_1) : R \quad \forall \rho_2, \rho'_2. R(\rho_2, \rho'_2) \multimap Z(\rho_2, \rho'_2)}{\text{execCoupl}(\rho_1, \rho'_1)(Z)}$$

Peeling the onion (layer 3) cont'd

$$\frac{\text{step}_\iota(\sigma_1) \sim \text{prim_step}(\rho'_1) : R \quad \forall \sigma_2, \rho'_2. R(\sigma_2, \rho'_2) \text{ * } \text{execCoupl}((e_1, \sigma_2), \rho'_2)(Z)}{\text{execCoupl}((e_1, \sigma_1), \rho'_1)(Z)}$$

$$\frac{\text{step}_\iota(\sigma_1) \sim \text{step}_{\iota'}(\sigma'_1) : R \quad \forall \sigma_2, \sigma'_2. R(\sigma_2, \sigma'_2) \text{ * } \text{execCoupl}((e_1, \sigma_2), (e'_1, \sigma'_2))(Z)}{\text{execCoupl}((e_1, \sigma_1), (e'_1, \sigma'_1))(Z)}$$

The adequacy theorem relies on the fact that presampling does not matter.

Lemma (Erasure)

If $\sigma_1(\iota) \in \text{dom}(\sigma_1)$ then

$$\text{exec}_n(e_1, \sigma_1) \sim (\text{step}_\iota(\sigma_1) \gg \lambda\sigma_2. \text{exec}_n(e_1, \sigma_2)) : (=)$$

Soundness

Theorem (Adequacy)

Let $\varphi : \text{Val} \times \text{Val} \rightarrow \text{Prop}$ be a predicate on values in the meta-logic. If

$$\text{specCtx} * \text{spec}(e') \vdash \text{wp } e \{v. \exists v'. \text{spec}(v') * \varphi(v, v')\}$$

is provable then $\forall n. \text{exec}_n(e, \sigma) \lesssim \text{exec}(e', \sigma') : \varphi$.

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Due to Löb induction, the LHS program may not terminate, i.e., in some execution paths the distribution may not have mass. For this reason, what we show in the end is a **left-partial coupling**.

Definition (Left-partial Coupling)

Let $\mu_1 \in \mathcal{D}(A)$, $\mu_2 \in \mathcal{D}(B)$. A sub-distribution $\mu \in \mathcal{D}(A \times B)$ is a left-partial coupling of μ_1 and μ_2 if

1. $\forall a. \sum_{b \in B} \mu(a, b) = \mu_1(a)$
2. $\forall b. \sum_{a \in A} \mu(a, b) \leq \mu_2(b)$

Given relation $R : A \times B$ we say μ is an R -left-partial-coupling if furthermore $\text{supp}(\mu) \subseteq R$. We write $\mu_1 \lesssim \mu_2 : R$ if there exists an R -left-partial-coupling of μ_1 and μ_2 .

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If $\mu_1 \lesssim \mu_2 : (=)$ then $\forall a. \mu_1(a) \leq \mu_2(a)$.

Summary

- ▶ **Clutch**: a higher-order relational separation logic for proving contextual refinement of probabilistic programs
- ▶ Asynchronous probabilistic couplings
- ▶ More examples in the paper
 - lazy hash functions, lazy big integers, ...
- ▶ Full mechanization of all results in Coq

Thank you!

Contact `gregersen@cs.au.dk`
Paper `https://arxiv.org/abs/2301.10061`
Coq dev. `https://github.com/logsem/clutch`

Peeling the onion (layer 4)

$$\models e_1 \lesssim e_2 : \tau \triangleq \forall K. \text{specCtx} \multimap \text{spec}_o(K[e_2]) \multimap \\ \text{wp } e_1 \{v_1. \exists v_2. \text{spec}_o(K[v_2]) * \llbracket \tau \rrbracket(v_1, v_2)\}$$

$$G(\rho) \triangleq \text{specInterp}_\bullet(\rho)$$

$$\text{specInv} \triangleq \exists \rho, e, \sigma, n. \text{specInterp}_o(\rho) * \text{spec}_\bullet(e) * \text{heaps}(\sigma) * \\ \text{execConf}_n(\rho)(e, \sigma) = 1$$

$$\text{specCtx} \triangleq \boxed{\text{specInv}}^{\mathcal{N}. \text{spec}}$$

This allows the right-hand side to “run ahead”, e.g.,

$$\frac{\text{specCtx} \quad e \overset{\text{pure}}{\rightsquigarrow} e' \quad \mathcal{N}.\text{spec} \subseteq \mathcal{E}}{\text{spec}(K[e]) \vdash \rightleftharpoons \text{spec}(K[e'])}$$

In the adequacy theorem and when coupling program steps, the program in the weakest precondition first “catches up”.